

# **Alfred H. Wipperman**

# **Janice L. Wipperman**

## **Consent Order**

Date: March 25, 2004

Respondents: Alfred H. Wipperman and Janice L. Wipperman; both of Topeka, Kansas

Respondents entered into a Consent Order whereby they shall immediately Cease and Desist from transacting business in the State of Kansas as broker-dealers. It was previously alleged that respondents offered a Kansas resident an unregistered security promising to pay \$1,350.00 per month based on an initial investment of \$22,000. As part of the Consent Order, respondents neither admit nor deny the allegations set forth in the Stipulation for Consent Order issued on March 25, 2004.

**Notice to Investors:** If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the [North American Securities Administrators Association](#) website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.